# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. 99,130-I) In the Application of:

Art Unit: 1646

November 09, 2001 ) Examiner:

November 09, 2001 )

Methods For Screening GABA-Modulatory
Compounds For Specified Pharmacological
Activities

RECENSED

# INFORMATION DISCLOSURE STATEMENT

TECH CENTER 1600/2900

BOX IDS Commissioner for Patents Washington, D.C. 20231

Tallman, et al.

10/045,396

Dear Sir:

Serial No.:

Filed:

For:

Pursuant to 37 C.F.R. Section 1.97 - 1.99, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56 Copies of the references cited below are enclosed. These references are also listed on the enclosed PTO Form 1449.

In the judgment of the undersigned, portions of the listed references may be material to the Examiner's consideration of the presently pending claims. However, the references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative relevance between references, whether cited in this or prior statements. This statement is not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or Section 103.

# **Foreign Documents**

- 1. PCT Patent No. WO 93/22681, published November 11, 1993.
- 2. PCT Patent No. WO 96/25948, published August 29, 1996.
- 3. PCT Patent No. WO 98/19165, published on May 7, 1998.

# **Other Documents**

4.

Atack, John R. et al., (1999), Neuropsychopharmacology, 20(3):255-262.

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- 5. Costa, Erminio et al., (1996), *TiPS*, 17:192-200.
- 6. Lüddens, Hartmut et al., (1995), *J. psychiat. Res.*, 29(2):77-94.
- 7. Mohler, H. et al., (1995) *Neurochemical Research*, 20(5):631-636.

The Applicants hereby notify the Examiner of the following commonly owned, co-pending applications that relate to the U.S. Application Serial Nos.:

Serial No.	Filing <u>Date</u>	Attorney <u>Docket No.</u>
09/565,524	May 5, 2000	99,130-A

In accordance with MPEP Sections 609 and 707.05(b), it is requested the document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

Date: January 28, 2003

By:

Steven J. Sarussi

Reg. No. 32,784

McDonnell, Boehnen Hulbert & Berghoff

300 South Wacker Drive

Respectfully Submitted,

Chicago, IL 60606

FORM PTO-1449 (Rev. 2-32)

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# U.S. Department of Commerce Patent and Trademark Office

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) Atty. Docket No.

99,130-I

10/045,396

Serial No.

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Applicant:

FEB 4 3 2003

Tallman, et al.

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Filing Date:

Group:

November 09, 2001

1646

### **U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate

### FOREIGN PATENT DOCUMENTS

Examiner Initial							Tran	slation
		Document Number	Date	Country	Class	Subclass	Yes	No
	1.	WO 93/22681	Nov 11, 1993					
	2.	WO 96/25948	Aug 29, 1996					
	3.	WO 98/19165	May 7, 1998					

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

	4.	Atack, John R. et al., (1999), Neuropsychopharmacology, 20(3):255-262.		
	5.	Cost, Erminio et al., (1996), <i>TiPS</i> , 17:192-200.		
	6.	Lüddens, Hartmut et al., (1995), <i>J. psychiat. Res.</i> , 29(2):77-94.		
	7.	Mohler, H. et al., (1995) Neurochemical Research, 20(5):631-636.		
EXAMINER			DATE CONSIDERED	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.